

MEETING: AUDIT AND GOVERNANCE COMMITTEE

DATE: Wednesday 10th September, 2014

TIME: 3.00 pm.

VENUE: Town Hall, Southport

Member

Substitute

Councillor Roberts (Chair)
Councillor McGinnity (Vice-Chair)
Councillor Ashton
Councillor Brennan
Councillor Mark Dowd
Councillor Lord Fearn
Councillor John Joseph Kelly
Councillor Lappin
Councillor Papworth
Councillor Shaw

Councillor Friel
Councillor Byrom
Councillor Robertson
Councillor Mahon
Councillor Dams
Councillor Weavers
Councillor Kermode
Councillor Atkinson
Councillor Dutton
Councillor Lewis

COMMITTEE OFFICER: Mike Morris
Senior Democratic Services Officer
Telephone: 0151 934 2045
Fax: 0151 934 2034
E-mail: mike.morris@sefton.gov.uk

If you have any special needs that may require arrangements to facilitate your attendance at this meeting, please contact the Committee Officer named above, who will endeavour to assist.

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AGENDA

1. **Apologies for absence**
2. **Declarations of Interest**
Members are requested to give notice of any personal or prejudicial interest and the nature of that interest, relating to any item on the agenda, in accordance with the Members Code of Conduct.
3. **Minutes** (Pages 5 - 8)
Minutes of the meeting held on 25 June 2014
4. **Health Scrutiny Guidance - Proposed Amendments to the Constitution** (Pages 9 - 14)
Report of the Director of Corporate Services
5. **Statement of Accounts 2013/2014**
Report of the Head of Corporate Finance and ICT to follow
6. **Treasury and Capital Activity - Outturn 2013/14** (Pages 15 - 26)
Report of the Head of Corporate Finance and ICT
7. **Treasury Management 2014/15 - Position to July 2014** (Pages 27 - 34)
Report of the Head of Corporate Finance and ICT
8. **Risk Services Update - April to September 2014** (Pages 35 - 42)
Report of the Head of Corporate Finance and ICT
9. **Audit Plan 2014/15 - Internal Audit Performance Report - April to August 2014** (Pages 43 - 58)
Report of the Head of Corporate Finance and ICT
10. **Internal Audit Fraud Report** (Pages 59 - 64)
Report of the Head of Corporate Finance and ICT
11. **Internal Audit Report and Confidential Reporting Policy** (Pages 65 - 76)
Report of the Head of Corporate Legal Services

- 12. Constitution Update** (Pages 77 - 82)
Report of the Head of Corporate Legal Services
- 13. Exclusion of Press and Public**
To consider passing the following resolution:

That, under Section 100A(4) of the Local Government Act, 1972, the press and public be excluded from the meeting for the following items of business on the grounds that they involve the likely disclosure of exempt information as defined in Paragraphs 3 and 7 of Part 1 of Schedule 12A to the Act. The Public Interest Test has been applied and favours exclusion of the information from the Press and Public.
- 14. Internal Audit Fraud Report** (Pages 83 - 88)
Report of the Head of Corporate Finance and ICT
- 15. Corporate Risk Register** (Pages 89 - 96)
Report of the Head of Corporate Finance and ICT